

# Kepler Problem and Formally Real Jordan Algebras II

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Think deeply of simple things — Arnold Ross

We have learned from the last lecture that there is a family of God-given classical dynamic models (indexed by a real parameter  $\mu$ ) with

- configuration space  $\mathbb{R}_*^3$ ,
- conserved angular momentum  $\mathbf{L}$ ,
- and an additional conserved vector  $\mathbf{A}$ .

Moreover, the orbits of these models are either linear or conic. These models are completely integrable in the sense that

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We also learned that the orbits of these models have very attractive descriptions on the future light cone

$$\Lambda_+ := \{x \in \mathbb{R}^{1,3} \mid x^2 = 0, x_0 > 0\}$$

in the Minkowski space  $\mathbb{R}^{1,3} := (\mathbb{R} \oplus \mathbb{R}^3, \text{Lorentz inner product})$  spanned by our ordinary three spatial dimensions and a new mysterious temporal dimension. Is this a coincidence? More precisely, one may ask this

Question: Can Kepler problem and its magnetized versions be naturally formulated on that future light cone  $\Lambda_+$ ?

Answer: Yes, provided that we can employ the more refined Jordan algebra structure behind the Lorentz structure on that Minkowski space  $\mathbb{R}^{1,3}$ . Since

$$\begin{aligned} \mathbb{R}_*^3 &\rightarrow \Lambda_+ \\ \mathbf{r} &\mapsto (r, \mathbf{r}) \end{aligned}$$

is a diffeomorphism, in hindsight, this may not be a surprise.



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## The Jordan algebra structure on $\mathbb{R}^{1,3}$

Write  $\mathbf{x} = (x_1, x_2, x_3)$ , then  $x = (x_0, x_1, x_2, x_3)$ . Write  $X$  for  $x_0 I + \mathbf{x} \cdot \vec{\sigma}$ , i.e.

$$X = \begin{bmatrix} x_0 + x_3 & x_1 - ix_2 \\ x_1 + ix_2 & x_0 - x_3 \end{bmatrix}.$$

Let  $H_2(\mathbb{C})$  is the set of all complex hermitian matrices of order two. Note that  $\det X = x^2$ .

- The map  $x \mapsto X$  is an isometry between  $\mathbb{R}^{1,3}$  and  $(H_2(\mathbb{C}), \det)$ .
- Under the symmetrized matrix multiplication:

$$X \circ Y := \frac{1}{2}(XY + YX),$$

$H_2(\mathbb{C})$  becomes a *real commutative algebra with unit*.

- This algebra is **formally real** in the following sense: for  $A, B$  in  $H_2(\mathbb{C})$ ,  $A^2 + B^2 = 0 \implies A = B = 0$ .

### Proof.

For any column vector  $\vec{x}$  in  $\mathbb{C}^2$ , let  $\vec{x}^\dagger$  be its hermitian conjugate. Then  $0 = \vec{x}^\dagger (A^2 + B^2) \vec{x} = \|\vec{A}\vec{x}\|^2 + \|\vec{B}\vec{x}\|^2$ , so  $\vec{A}\vec{x} = \vec{B}\vec{x} = \vec{0}$ , so  $A = 0$  and  $B = 0$ .

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## Weak associativity

The symmetrized matrix multiplication is

- not associative.
- weakly associative in the following sense: for  $X, Y$  in  $H_2(\mathbb{C})$ , we have

$$(X \circ Y) \circ X^2 = X \circ (Y \circ X^2).$$

Here  $X^2 = X \circ X = XX$ .

Proof.

$$\begin{aligned} LHS &= \frac{1}{2}(XY + YX) \circ X^2 = \frac{1}{4}[(XY + YX)X^2 + X^2(XY + YX)] \\ &= \frac{1}{4}[XYX^2 + YXX^2 + X^2XY + X^2YX] \\ &= \frac{1}{4}[XYX^2 + YX^2X + XX^2Y + X^2YX] \\ &= \frac{1}{4}[(YX^2 + X^2Y)X + X(YX^2 + X^2Y)] = X \circ \frac{1}{2}(YX^2 + X^2Y) \\ &= RHS \end{aligned}$$

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## The euclidean structure on $H_2(\mathbb{C})$

For any  $u \in H_2(\mathbb{C})$ , we let  $L_u$  be the endomorphism on  $H_2(\mathbb{C})$  defined by  $v \mapsto u \circ v$ . Let  $\langle \cdot, \cdot \rangle: H_2(\mathbb{C}) \times H_2(\mathbb{C}) \rightarrow \mathbb{R}$  be defined as follows:

$$\langle u, v \rangle := \frac{1}{2} \operatorname{tr}(u \circ v) = \frac{1}{2} \operatorname{tr}(uv) = \frac{1}{4} \operatorname{tr} L_{u \circ v}.$$

- $\langle \cdot, \cdot \rangle$  is an inner product on  $H_2(\mathbb{C})$  such that

$$\sigma_0 := \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \sigma_1 := \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_2 := \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \sigma_3 := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

form an orthonormal basis. Note that  $\operatorname{tr} \sigma_0 = 2$  and  $\operatorname{tr} \sigma_j = 0$ .

- The multiplication law for the real commutative algebra  $H_2(\mathbb{C})$  with unit is given by

$$\sigma_i \circ \sigma_j = \delta_{ij} \sigma_0, \quad \sigma_0 \text{ is the unit } e.$$

- $L_u$  is self-adjoint with respect to  $\langle \cdot, \cdot \rangle$ , i.e.,  $\langle v, u \circ w \rangle = \langle u \circ v, w \rangle$  for any  $v, w \in H_2(\mathbb{C})$ . Indeed,

$$LHS = \frac{1}{2} \operatorname{tr}(v(uw + wu)) = \frac{1}{2} \operatorname{tr}(vuw + vwu) = \frac{1}{2} \operatorname{tr}((uv + vu)w) = RHS$$

## Relevance to Kepler problem

- The future light cone  $\Lambda_+$  = the set of rank one, semi-positive elements in  $H_2(\mathbb{C})$ . Indeed, if the rank of  $X$  is less than two, then  $\det X = 0$ , also, if  $X \neq 0$  is semi-positive, then  $\text{tr } X > 0$ . So  $x^2 = 0$  and  $x_0 > 0$ .
- For the Kepler problem, the potential term is

$$-\frac{1}{\langle e, x \rangle}.$$

- The Kinetic term for the the Kepler problem (or rather the Riemannian metric on  $\Lambda_+$ ), angular momentum, and Lenz vector can all be naturally expressed in terms of Jordan algebra structure as well.

For details, we have to study Formally Real Jordan algebras.

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## Formally real Jordan algebras

Jordan algebras are the unfavored cousins of Lie algebras, and Formally real Jordan algebras are the unfavored cousins of compact real Lie algebras. Having  $H_2(\mathbb{C})$  in mind, we have

Definition (P. Jordan, 1933)

A finite dimensional **Formally real Jordan algebra** is a finite dimensional real algebra  $V$  with unit  $e$  such that, for any elements  $a, b$  in  $V$ , we have

- 1)  $ab = ba$  (symmetry),
- 2)  $a(ba^2) = (ab)a^2$  (weakly associative),
- 3)  $a^2 + b^2 = 0 \implies a = b = 0$  (formally real).

The simplest example is  $\mathbb{R}$ , the other example is  $H_2(\mathbb{C})$ . We use  $L_a: V \rightarrow V$  to denote the multiplication by  $a$ . Then 2) says that  $[L_a, L_{a^2}] = 0$  (Jordan Identity) and 3) can be replaced by

3') The "Killing form"  $\langle a, b \rangle = \frac{1}{\dim V} \operatorname{tr} L_{ab}$  is positive definite. Note that  $\langle b, ac \rangle = \langle ab, c \rangle$ . Formally real Jordan algebras are also called Euclidean Jordan algebras.

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# The classification theorem

## Theorem (Jordan, von Neumann and Wigner, 1934)

*Euclidean Jordan algebras are semi-simple, and the simple ones consist of four infinity families and **one exceptional**:*

$\mathbb{R}$ .

$\Gamma(n) := \mathbb{R} \oplus \mathbb{R}^n, n \geq 2$ .

$H_n(\mathbb{R}), n \geq 3$ .

$H_n(\mathbb{C}), n \geq 3$ .

$H_n(\mathbb{H}), n \geq 3$ .

$H_3(\mathbb{O})$ .

### Remark.

- $\Gamma(0) \cong \mathbb{R}, \Gamma(1) \cong \mathbb{R} \oplus \mathbb{R}, \Gamma(2) \cong H_2(\mathbb{R}), \Gamma(3) \cong H_2(\mathbb{C}),$   
 $\Gamma(5) \cong H_2(\mathbb{H}), \Gamma(9) \cong H_2(\mathbb{O})$ .
- Each but the exceptional one is associated with an associative algebra.
- $\mathbb{R}, \Gamma(3),$  and  $H_3(\mathbb{O})$  are somewhat special.

# The structure algebra

For  $a, b$  in  $V$ , we let

$$S_{ab} := [L_a, L_b] + L_{ab}, \quad \{abc\} := S_{ab}(c)$$

and  $\mathfrak{str}$  be the span of  $\{S_{ab} \mid a, b \in V\}$  over  $\mathbb{R}$ . Since

$$[S_{ab}, S_{cd}] = S_{\{abc\}d} - S_{c\{bad\}},$$

$\mathfrak{str}$  becomes a real Lie algebra — the **structure algebra** of  $V$ . For example, (1)  $\mathfrak{str} \cong \mathbb{R}$  for  $V = \mathbb{R}$ , (2)  $\mathfrak{str} \cong \mathfrak{so}(1, 3) \oplus \mathbb{R}$  for  $V = \Gamma(3)$ .

This Lie algebra is not simple, actually not even semi-simple, because it has a non-trivial central element:  $S_{ee}$ . Note that  $L_u = S_{ue}$ .

The good news is that this algebra can be extended to a simple real Lie algebra provided that  $V$  is a simple Euclidean Jordan algebra. From hereon  $V$  is assumed to be a simple Euclidean Jordan algebra.

# The structure algebra

For  $a, b$  in  $V$ , we let

$$S_{ab} := [L_a, L_b] + L_{ab}, \quad \{abc\} := S_{ab}(c)$$

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# The conformal algebra

Write  $z \in V$  as  $X_z$  and  $\langle w, \cdot \rangle \in V^*$  as  $Y_w$ .

Definition (J. Tits, M. Koecher, I.L. Kantor, 1960's)

The **conformal algebra**  $\mathfrak{co}$  is a Lie algebra whose underlying real vector space is  $V \oplus \mathfrak{str} \oplus V^*$ , and the commutation relations are

$$\begin{aligned} [X_u, X_v] &= 0, & [Y_u, Y_v] &= 0, & [X_u, Y_v] &= -2S_{uv}, \\ [S_{uv}, X_z] &= X_{\{uvz\}}, & [S_{uv}, Y_z] &= -Y_{\{vuz\}}, \\ [S_{uv}, S_{zw}] &= S_{\{uvz\}w} - S_{z\{vuw\}} \end{aligned} \tag{1}$$

for  $u, v, z, w$  in  $V$ .

When  $V = \Gamma(3)$ ,  $\mathfrak{str} = \mathfrak{so}(3, 1) \oplus \mathbb{R}$ ,  $\mathfrak{co} = \mathfrak{so}(4, 2)$ . When  $V = \mathbb{R}$ ,  $\mathfrak{str} = \mathbb{R}$ ,  $\mathfrak{co} = \mathfrak{sl}(2, \mathbb{R})$ . In general,  $\mathfrak{co}$  is the Lie algebra of the biholomorphic automorphism group of the complex domain  $V \oplus iV_+ \subset V \otimes_{\mathbb{R}} \mathbb{C}$ .

# The universal Kepler problem [G. Meng, “The Universal Kepler Problems”, JGSP 36 (2014) 47-57]

Let  $\mathcal{TKK}$  be the complexified universal enveloping algebra for the conformal algebra, but with  $Y_e$  being formally inverted.

## Definition

The **universal angular momentum** is

$$\begin{aligned} L : V \times V &\rightarrow \mathcal{TKK} \\ (u, v) &\mapsto L_{u,v} := [L_u, L_v] \end{aligned} \quad (2)$$

The **universal Hamiltonian** is

$$H := \frac{1}{2} Y_e^{-1} X_e - (i Y_e)^{-1} \quad (3)$$

The **universal Lenz vector** is

$$\begin{aligned} A : V &\rightarrow \mathcal{TKK} \\ u &\mapsto A_u := (i Y_e)^{-1} [L_u, (i Y_e)^2 H] \end{aligned} \quad (4)$$

# Universal Lenz algebra

Using the commutation relation for the conformal algebra, one can prove the following

## Theorem

For  $u, v, z$  and  $w$  in  $V$ ,

$$\begin{aligned} [L_{u,v}, H] &= 0, \\ [A_u, H] &= 0, \\ [L_{u,v}, L_{z,w}] &= L_{[L_u, L_v]z, w} + L_{z, [L_u, L_v]w}, \\ [L_{u,v}, A_z] &= A_{[L_u, L_v]z}, \\ [A_u, A_v] &= -2HL_{u,v}. \end{aligned} \tag{5}$$

A concrete realization of the conformal algebra



a concrete model of the Kepler type

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